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# Three Approaches to Predicting ESP Pump Failures during SAGD Operations

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## Abstract

This paper presents a novel approach to a time scale discretization when predicting ESP pump failures at different scales. This study proves that models can be used to formalize failure predictions, prevention, and lead to optimizing the ESP's replacement and/or maintenance. The target parameters reflected two different time scale ranges. In the first approach 'Time to Failure' and its corresponding 'Active Time to Failure' were predicted. The second case excluded time periods when a well was off-line for other reasons than failure. These two targets (modeling parameters) represented low frequency events and were developed using geological or/and well geometry parameters. The Total Time to Failure model (Production Period model) based on a combined trajectory and geology data set showed acceptable and stable performance. A corresponding model with wellhead parameters summarized across each production period was introduced to complement the large scale analysis.

A second group of models of higher resolution was designed to detect failures in real time. In these cases estimations for the probability of a failure at a specific time using the most recent wellhead data while excluding well's non-active time periods related to workovers and other non-productive time periods.

These models used pre-processed wellhead data from a few selected wells and pads. Well data required pooling large amounts of data and developing a parameter summarization in time periods based on uninterrupted Motor Current Time Periods. These discrete time periods represented events with or without a failure depending on a reason for the current value to be zero. The probability of a pump failure was estimated using two approaches. In the first approach only the last two 'periods' that corresponded to non-failure and failure periods respectively were used. The second approach involved all non-failure periods leading to each corresponding failure period. The first approach overestimated the failures while the second approach overestimated the non-failure events.

Initial probability models predicted events with a relatively high success rate. However, more data and additional data transformations are required to verify the practicality of our approach. More refined sub-

period estimates in each Current Time Periods may help in developing improved models.

### Introduction

Pump failures are expensive and predicting these events can reduce lost revenue and help in optimization of pump replacement, stopping and/or alerting operations to prevent catastrophic events.

Estimating mean failure rate values and fitting them into known distributions is a common practice in process improvements<sup>1</sup>. However, SAGD processes have been evolving very rapidly and it is difficult to get a good sample size of the same pump type, size, and working conditions to fit such distributions. Furthermore, making decisions to replace a pump when it seems to work well is a difficult decision that has to be supported by reliable models. Data driven analytics is emerging for monitoring and preventing problems with ESP pumps in the oil industry <sup>2,3</sup>. What has been missing given the number of SAGD operations is analyzing the contribution of other factors to pump failures. The goal of this study was to test how geology, well geometry, and well head parameters can help in predicing pump failures. Like any analytical project we had to acquire information about pump failures in a specific time period and all associated data: well head parameters, well geology description, and well completion information. All data sets were tested, cleaned, pre-processed, normalized, and analyzed in a recursive process, which is characteristic of data driven projects <sup>4,5</sup>. Major analytical tasks included:

- Developing metrics associated with ESP pump failures.
- Identifying TARGET parameters (to be predicted in order to estimate failures and help in detection).
- Identifying the most important geological and well trajectory parameters correlated to pump failures.
- Identifying the combination of wellhead measurements in time supporting predicting/avoidance of pump failures and pump replacement planning.

### Failures by Well – Large Scale Analysis with Geology and Geometry

Several metrics associated with days between pump failures have been developed and tested. Eight pad data from Firebag between 2008 to 2013 was used. Failures for all wells were considered for modeling the expected days to failure based on geometry and geology associated with each well.

We considered several alterative target parameters or variations of the 'days to failure'. Specifically, we estimated a weighted mean or median days and weighted harmonic mean or median days to failure (see sample of failure statistics in shown in Table 1 APPENIDX 2). The weighting was done by an associated pump size (Median catalog derived pump rate). The failure definition/flag was based on DIFA reports.

We built multivariate regression models that predicted the median number of days to pump failure using geology and/or trajectory based metrics. Multi-step model development analyzed the contributions and significance of variables and model strength for each set of final variables. This led to robust models with only the most significant parameters being utilized. A trajectory based model was stronger than geology based model. These models were significant but weak and characterized by R-square = 0.36 and 0.25 respectively.

A final model based on selected variables from both sets of parameters (geometry and geology) showed improved performance with R-square = 0.41 as shown in Table 2. This number was strong enough to

show that there were significant parameters associated with higher frequency of failures. The model presented here was based on one geometry variable and three geological parameters. All the explanatory estimates were significant and stable. A higher number of days to failure can be expected for:

- An injector with higher TVDSS variation
- A higher % of F2 channel
- A higher Continuous Gross Pore Volume (m3)
- A lower Continuous Gross Porosity (%)

The last two explanatory variables represent a combination of two measurements representing the same factor. This indicates that different formulas and combinations/ratios of explanatory parameters could improve future model performances. This and the following parts of the paper show the importance of the data processing steps.

### Failures – Large Scale Analysis with Wellhead Data

Next a set of regression models for **Total Time to Failure** and **Hours-On to Failure** using only wellhead parameters were built. The second target (hours-on) parameter excluded time periods from the well history when the pump was not operational for any reason. These models used heavily pre-processed wellhead (TAG) data. At this stage data was pooled for selected wells due to the large amount of wellhead data that had to be processed.

Specifically, time interval discretization and parameter integration in these time intervals was introduced. Thus, we compressed each time period during which a pump worked continuously into a set of estimates. The new data contained a sequential period number and summarized estimates of all parameters during each time period. We utilized two definitions. The first is a standard definition during SAGD operation and the second is our definition of the discretized time period.

- **Production Period is** a continuous time period between the pump installation date/time and its failure date/time.
- **Motor Current Period** is a continuous time period with all values of the motor current greater than zero. A new Motor Current Period starts after each pump motor restart.

Several new parameters were defined for wellhead measurements that described count, max, min, mean, median, and variation statistics during each discrete Motor Current Period. A sample of these parameters is presented in Table 3.

The first **Time to Failure** model used only three metrics: maximum value of Injector Casing Percent Open, maximum of Producer Pressure Casing at wellhead, and minimum of Producer Temperature Casing at wellhead.

A second version of the **Hours-On to Failure** regression model included more exotic metrics based on hours-on during motor current periods. Two metrics  $INJ_TBG_PCT_MAX$  (max of  $INJ_TBG_PCT$  during the whole Production Period) and  $PROD_T_TBG_MEAN_MAX$  (max value of the mean values of  $PROD_T_TBG$  that was estimated during each Motor Current Period) were used to build a final regression model. It had an R-Square = 0.75 and parameter estimates are presented in Table 4.

A corresponding decision tree model was characterized by R-Square = 0.82 and shown in Figure 1. The root split (top) was based on Inj\_Tbg\_Pct\_Max, which was present in two-variable regression Hours-On

model. The second level splits (in branches below) were based on Prod\_Csg\_Pct\_Mean\_Median and Stm\_Tbg\_StdDev\_Mean. This model indicates that Prod\_Csg\_Pct\_Min\_Median results in higher Hours-On to failure. In the second branch with a three node split low and high variability in Stm\_TBG result in lower Hours-On to Failure. Mid range variability may indicate a situation when the steam chamber is being better controlled, which would result in better pump life. The model diagnostics confirm that building predictive models for the pumps expected life is possible. However, we did not have enough data to perform enough model 'stress testing'. For example we did not find any difference based on a pump size.

# Probability of Failures – Detailed Scale Analysis with Wellhead Data in the Last Two Current Periods

Two models were developed that predicted the actual probability of failure in the last motor current period when the actual event took place. As it was described before each production period (time from the pump installation to the corresponding failure) was divided into the motor current periods of continuous electric motor readings (Period of Motor Current >0). That represented predicting failures as they were happening.

Our initial model predicted a failure in the last motor current period and it used average well head parameters in the last two motor current periods. Thus, we looked at the wellhead parameter summarizes in the period when the failure happened to get the failure metrics. The non-failure metrics were obtained from the previous period when pump did not fail. The logistic model was developed to detect the impeding failure based on differences of these parameters during these two time periods.

Due to limited data pool the above target definition of the failure and the non-failure event had 42 motor-current period pairs with the failure and the non-failure events (Y/N). The corresponding logistic regression model was characterized by a low R-Square = 0.21 and Max-Rescaled R-Square = 0.28. At the same time nearly 10-12 parameters competed for the final model but none of the most important variable sets could support good models.

Table 5 presents a corresponding frequency statistics with the correct and incorrect model classification. Specifically, it shows a frequency table that shows observed levels (\_FROM\_) of the target parameter (Failed=N/Y) versus the predicted levels (Into\_P) and corresponding metrics (Frequency, Percent, Row Pct, and Column Pct).

Only 24 cases of NO Failure were properly classified, which is 57.14% of all cases without failure. The other 18 cases were classified as failures. We had more luck with the failure rate  $(2^{nd} \text{ row of the table})$ . 30 cases of failure were properly classified with 71.43% success rate.

A high number of misclassifications and especially false positive outcomes (non-failure misclassified as failure) show that the model was too sensitive. This was due to unknown pump age and equal number of No-Fault (N) and Fault (Y) events (heavily weighting Y events).

# Probability of Failures – Detailed Scale Analysis with Wellhead Data and All Current Periods

Our final model used estimated well-head parameters in all current periods during the production period leading to failure. It was built with all Non-Failure events in a series of the current periods leading to a specific failure. Thus, a 'failed' parameter/indicator was created and it was set to 'Y' only during the last period (29 cases) and 'N' in all previous motor current periods without failures (891 cases). These two counts changed with iterations and depended on specific data pre-processing and number of variables in the logistic regression model. During this modeling stage we included the motor age at the beginning of each motor current period.

A preliminary logistic model had good R-Square = 0.74. Some of the most important parameters included: Age, Annular Gas Flow, Frequency, Motor Current, casing and tubing parameters. In the modeling process we discovered that too many parameters with significant statistics (Pr > ChiSq < 0.05) showed up on the most important list of parameters. The same phenomenon characterized the previously presented model based only on the last two current periods. Too many variables typically result in model instabilities due to multivariate correlations. Furthermore, in this case we are modeling a rare case scenario because the modeled target level occurs approximately 3% of time.

Thus, the model performance (in Table 6) was probably too optimistic and biased because the data used to fit the model was also used in the assessment of the model. We tried two ways of dealing with this problem. In the first we split the available data into training and validation data sets. We trained the model using the training data set and then assessed by applying the model to the validation data set. However, our available data set had very few 'Failed' cases (29 out of 920) and splitting data into two sets resulted in an unacceptably small failure count in both sets. In the second approach we applied cross-validation process, which could provide an unbiased assessment of the model without reducing the training data set. In both cases we did not get much better results.

Both modeling cases showed that well-head parameters in time periods leading to failures support early failure detection. More data should help in developing more robust models and more work had to be done with the data transformation/normalization, and variables elimination. In data driven modeling it is essential to create as many physics based parameters in the initial stages of the project. Some of these variables could be based on combinations of monitored variables as it was shown when modeling the intake temperature during SAGD <sup>6</sup>. Advanced metrics could be based on a combination of motor current periods and fitting 'known' shapes (shapelets) into these series <sup>7</sup>. In the next iteration we are planning to include parameters associated with quality of power being supplied to a pump.

### **Potential Implementation**

The above study indicates that one model could not satisfy our needs. Different target parameter definitions resulted in developing models with different detection sensitivity and at different time resolution scale.

Thus, the most likely solution should be based on a model predicting:

- Expected Hours to Failure
- Oversensitive model for Probability of Failure based on the last few time periods associated with the motor current
- Probability of Failure based on all motor current periods from the past history (less sensitive model).

Their weighted contributions could be used to estimate a final pump wellness indicator. The first oversensitive model prone to the false positive detection would be used for flagging potential problems,

while the second model would identify the most likely failure candidates. The above models can be implemented as pop-up solution in the currently available field applications to display expected 'Time to Failure' and a status for the Failure Probability.

#### Summary

This study was intended as a proof of concept for linking the ESP pump failure to geology and well geometry. Furthermore, we proved that wellhead parameters could be used to predict pump failures with enough time to set a warning or stopping the pump prior to the event.

In order to identify parameters that could help in pump failure detection we introduced parameter discretization based on the motor current.

Implemented data pre-processing and definitions proved that more than one model type was needed to address all aspects of predicting pump failures.

A large scale model could be build for predicting Time to Failure. A more detailed scale could be represented by Probability of Failure models. Different target definitions and advanced data preprocessing lead to models of variable sensitivity resulting in too many false detections or too few true detections. In our opinion, we would have to implement these different model types and use their predictions in a combined fashion.

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### Appendix 1 – Figures



Figure 1: Decision Tree for Hours-On Time to Failure (R-Square = 0.82)

# Appendix 2 – Tables

| 1  | Well_<br>Name<br>II | Date_Wel<br>I_Started | Date_Well_<br>Last_Event | Event_<br>Count | Mean_D<br>uration_<br>Days | Min_Dura<br>tion_Days | Max_Dur<br>ation_Day<br>s | Pump<br>Weighted<br>Mean<br>Duration<br>Days | Pump<br>Weighted<br>Har-Mean<br>Duration<br>Days | Median<br>Duratio<br>n Days | Event_<br>Annua<br>I_Freq<br>uency | Well_<br>Age |   |
|----|---------------------|-----------------------|--------------------------|-----------------|----------------------------|-----------------------|---------------------------|--|--|-----------------------------|------------------------------------|--------------|---|
| 2  | P2P4                | 20-Oct-05             | 4-Dec-13                 | 4               | 295                        | 21                    | 547                       | 272  | 63   | 305                         | 0.49                               | 8.13         |   |
| 3  | P1P9                | 9-Nov-05              | 17-May-11                | 3               | 663                        | 467                   | 856                       | 663  | 624  | 666                         | 0.54                               | 5.52         |   |
| 4  | P2P2                | 14-Mar-07             | 25-Aug-11                | 3               | 399                        | 130                   | 561                       | 399  | 262  | 505                         | 0.67                               | 4.45         |   |
| 5  | P2P5                | 16-Oct-05             | 21-Oct-12                | 5               | 367                        | 67                    | 570                       | 409  | 287  | 403                         | 0.71                               | 7.02         |   |
| 6  | P2P10               | 25-Apr-09             | 2-Feb-12                 | 2               | 484                        | 474                   | 493                       | 482  | 482  | 484                         | 0.72                               | 2.78         |   |
| 7  | P1P7                | 11-Mar-07             | 13-Nov-13                | 5               | 375                        | 181                   | 949                       | 375  | 267  | 256                         | 0.75                               | 6.68         | l |
| 8  | P1P4                | 13-Apr-09             | 20-Dec-12                | 3               | 240                        | 114                   | 410                       | 240  | 184  | 197                         | 0.81                               | 3.69         |   |
| 9  | P1P3                | 3-Mar-09              | 25-Aug-13                | 4               | 403                        | 9                     | 1,013                     | 477  | 38   | 295                         | 0.89                               | 4.48         | l |
| 10 | P1P1                | 9-Dec-05              | 9-Aug-13                 | 7               | 391                        | 137                   | 748                       | 405  | 303  | 344                         | 0.91                               | 7.67         | l |
| 11 | P1P10               | 24-Nov-05             | 2-Mar-12                 | 7               | 312                        | 1                     | 668                       | 327  | 9  | 235                         | 1.12                               | 6.27         | l |
| 12 | P2P8                | 29-Jun-06             | 6-Jun-13                 | 8               | 292                        | 27                    | 960                       | 325  | 88   | 127                         | 1.15                               | 6.94         | l |
| 13 | P2P1                | 21-Jun-05             | 19-Aug-10                | 6               | 180                        | 32                    | 656                       | 133  | 69   | 106                         | 1.16                               | 5.16         | l |
| 14 | P2P7                | 18-Oct-05             | 16-Oct-11                | 7               | 303                        | 87                    | 703                       | 282  | 195  | 224                         | 1.17                               | 6.00         |   |
| 15 | P1P8                | 11-Mar-08             | 27-Jan-13                | 6               | 292                        | 29                    | 724                       | 292  | 82   | 260                         | 1.23                               | 4.88         | l |
| 16 | P1P5                | 29-Mar-09             | 3-Jan-14                 | 6               | 279                        | 12                    | 591                       | 278  | 43   | 290                         | 1.26                               | 4.77         | l |
| 17 | P1P2                | 15-Nov-05             | 18-Sep-13                | 10              | 281                        | 9                     | 601                       | 286  | 44   | 301                         | 1.27                               | 7.85         |   |
| 18 | P1P6                | 17-Jul-06             | 18-Jul-13                | 9               | 258                        | 9                     | 539                       | 269  | 75   | 258                         | 1.28                               | 7.01         |   |
| 19 | P2P9                | 21-Mar-09             | 28-Mar-12                | 4               | 224                        | 4                     | 644                       | 171  | 11   | 124                         | 1.32                               | 3.02         |   |
| 20 | P2P6                | 13-Oct-05             | 23-Mar-10                | 7               | 190                        | 29                    | 612                       | 222  | 70   | 95                          | 1.58                               | 4.44         |   |
| 21 | P2P3                | 6-Jul-06              | 25-May-13                | 12              | 163                        | 3                     | 821                       | 173  | 25   | 45                          | 1.74                               | 6.89         | Í |

Table 1: Example of failure statistics for two pads associated with Y/N true ESP failure flag.

| Parameter Estimates |           |          |         |             |           |           |  |  |  |
|---------------------|-----------|----------|---------|-------------|-----------|-----------|--|--|--|
| Variable            | Parameter | Standard | t Walua | $\Pr >  t $ | Tolerance | Variance  |  |  |  |
| variable            | Estimate  | Error    | t value |             |           | Inflation |  |  |  |
| Intercept           | 902.6043  | 374.429  | 2.41    | 0.019       | 0         | 0         |  |  |  |
| Std_TVDSS_I         | 152.0854  | 59.1681  | 2.57    | 0.0127      | 0.2892    | 1.2973    |  |  |  |
| Channel_F2_P        | 1611.452  | 633.094  | 2.55    | 0.0135      | 0.2550    | 1.0287    |  |  |  |
| Cont_Gross2         | 0.000152  | 4.8E-05  | 3.18    | 0.0023      | 0.3660    | 1.353     |  |  |  |
| Cont_Gross_P        | -2952.15  | 1248.17  | -2.37   | 0.0213      | -0.269    | 1.330     |  |  |  |

Table 2: Model statistics for Days to Failure derived from well's geometry and geology (R-Square = 0.41, Adjusted R-Square = 0.38)

| Ini Cag Dat Max          | Maximum value of Injector Casing      |  |  |  |  |
|--------------------------|---------------------------------------|--|--|--|--|
| mj_Csg_Pct_wax           | Percent Open Choke (Inj_Csg_Pct)      |  |  |  |  |
| Dred D as a May          | Maximum of Producer Pressure Casing   |  |  |  |  |
| FIOU_F_CSg_Wax           | at wellhead (Prod_P_csg)              |  |  |  |  |
| Brod T. Cag. Min         | Minimum of Producer Temperature       |  |  |  |  |
| Prod_1_Csg_willi         | casing at wellhead (Prod_T_Csg)       |  |  |  |  |
|                          |                                       |  |  |  |  |
| Ini Tha Dat May          | Maximum value of Injector Tubing      |  |  |  |  |
| mj_rog_rct_wax           | Percent Open Choke (Inj_Tbg_Pct)      |  |  |  |  |
| Dred D The May           | Maximum of Producer Pressure Tubing   |  |  |  |  |
| riod_r_iog_wax           | at wellhead (Prod_P_Tbg)              |  |  |  |  |
| Brod T The Min           | Minimum of Producer Temperature       |  |  |  |  |
|                          | tubing at wellhead (Prod_T_Tbg)       |  |  |  |  |
|                          |                                       |  |  |  |  |
| Prod T The Meen Mey      | Max of Mean T_Tbg by Current Periods  |  |  |  |  |
| riou_1_10g_wean_wax      | during the specific Production Period |  |  |  |  |
|                          | Median of Mean Producer Casing        |  |  |  |  |
| Prod_Csg_Pct_Mean_Median | Percent Open Choke by Motor Current   |  |  |  |  |
|                          | Period                                |  |  |  |  |
| Stm. The StdDay Maan     | Mean of Standard Deviation for Tubing |  |  |  |  |
| Sun_rug_surrev_mean      | Steam by Motor Current Period         |  |  |  |  |

Table 3: Important derived metrics (statistics) used in the modeling process included.

| Parameter Estimates |    |           |          |         |             |           |           |  |  |
|---------------------|----|-----------|----------|---------|-------------|-----------|-----------|--|--|
| Variable            | DF | Parameter | Standard | t Value | $\Pr >  t $ | Tolerance | Variance  |  |  |
| variable            |    | Estimate  | Error    |         |             |           | Inflation |  |  |
| Intercept           | 1  | -66553    | 9568.43  | -6.96   | <.0001      |           | 0         |  |  |
| Inj_Tbg_Pct_Max     | 1  | 130.46    | 23.9061  | 5.46    | <.0001      | 0.8273    | 1.2087    |  |  |
| Prod_T_tbg_Mean_Max | 1  | 336.94    | 55.34    | 6.09    | <.0001      | 0.8273    | 1.2087    |  |  |

Table 4: Model parameters for Hours-On to Failure derived from wellhead data (R-Square = 0.75, Adjusted R-Square = 0.73)

| Frequency          | Table of _FROM_ by Into_P |  |       |       |  |  |
|--------------------|---------------------------|--|-------|-------|--|--|
| Percent<br>Row Pct | _From_<br>Observed        | Into_P   |       |       |  |  |
| Col Pct            | Col Pct Response)         |  | Y     | Total |  |  |
|                    |                           | 24 18  |       | 42    |  |  |
|                    | N                         | 28.57  | 21.43 | 50    |  |  |
|                    | 1                         | 57.14 42.86   66.67 37.5                       |       |       |  |  |
|                    |                           |  |       |       |  |  |
|                    |                           | 12   | 30    | 42    |  |  |
|                    | V                         | 14.29  | 35.71 | 50    |  |  |
|                    | I                         | 28.57 71.43   33.33 62.5   36 48   42.86 57.14 |       |       |  |  |
|                    |                           |  |       |       |  |  |
|                    | Total                     |  |       | 84    |  |  |
|                    | Total                     |  |       | 100   |  |  |

Table 5: Classification table for Failure weighted model.

| Frequency          | Table of FROM by Into P |            |       |       |  |  |
|--------------------|-------------------------|------------|-------|-------|--|--|
| Percent<br>Row Pct | _From_<br>Observed      | Into_P     |       |       |  |  |
| Col Pct            | Response)               | N          | Y     | Total |  |  |
|                    |                         | 890 1      |       | 891   |  |  |
|                    | N                       | 96.74      | 0.11  | 96.85 |  |  |
|                    | 1                       | 99.89      | 0.11  |       |  |  |
|                    |                         | 99.55 3.85 |       |       |  |  |
|                    |                         | 4          | 25    | 29    |  |  |
|                    | V                       | 0.43       | 2.72  | 3.15  |  |  |
|                    | I                       | 13.79      | 86.21 |       |  |  |
|                    |                         | 0.45       | 96.15 |       |  |  |
|                    | Total                   | 894        | 26    | 920   |  |  |
|                    | Total                   | 97.17 2.83 |       | 100   |  |  |

Table 6: Classification table for Non-Failure weighted model.